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|  | **INGALLS SHIPBUILDING (SEBP) EVALUATION OF SUBCONTRACTING PLAN** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | Buyer: |  | | | | | Supplier: |  | | | | | | | | | | | | | | |  | | | | | |
|  | Purchase Order # | |  | | | | | | Contract No.: | | | | |  | | | | |  | | | |  |  | | |  | |
|  | Type of Plan: | | | | Individual | |  | | | | |  | | Master | |  | | | | | | | | | | |  | |
|  |  | | |  |  | |  | | | | |  | |  | | | |  |  | | | |  | | |  |  | |
|  |  | | |  | Commercial | |  | | | | |  | | Comprehensive | | |  | | | | | | | | | |  | |
|  |  | | |  |  | |  | | | | |  | |  | | | |  |  | | | |  | | |  |  | |
| (1): FAR 52.219-9 Clause | | | | |  | |  | | | | |  | |  | | | |  |  | | | |  | | |  | | |  | |
| 1 | Separate percentage goals for using: | | | | | | | | | | |  | |  | | | |  |  | | | |  | |  | | |  | |
|  | SB/HUBZ/VOSB/ SDB/WOSB/ SDVOSB and ANC (Alaskan Native Corp) & Indian Tribes (that have not been certified by SBA) ANC & Indian Tribes (that are not small) concerns | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
| . | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  | | | | |  | |  | | |  | | |  | | |  | |  | |  | | |  | |
| 2 | Statement of total subcontract dollars planned with separate dollars planned to be subcontracted to | | | | | | | | | | | | | | | | | | | |  | |  | |  | | |  | |
|  | SB/HUBZone/VOSB/SDB/WOSB/SD-VOSB and ANC/Indian Tribes concerns | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  | | | | | |  |  | | |  | | |  | | |  | |  | |  | | |  | |
| 3 | Description of types of supplies/services to be subcontracted, identification of types planned for subcontracting | | | | | | | | | | | | | | | | | | | |  | |  | |  | | |  | |
|  | to SB/HUBZone/VOSB/SDB/ WOSB/ SD-VOSB ANC/INDIAN Tribes/ concerns | | | | | | | | | | | | | | | | | | | |  | |  | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  |  | | | | | |  | | |  | | |  | | |  | |  | |  | | |  | |
| 4 | Description of method used to develop subcontracting goals | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  | | | | | |  |  | | |  | | |  | | |  | |  | |  | | |  | |
| 5 | Description of method used to identify potential sources for solicitation purposes | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  | | | | | |  |  | | |  | | |  | | |  |  | | |  | | |  | |
| 6 | Statement whether or not offeror included indirect costs in establishing subcontracting goals; description of method used to determine the proportionate share of indirect costs to be incurred with SB/HUBZ/VOSB/SDB/WOSB/SD-VOSB/ANC & Indian Tribe Concerns | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  | | | | | |  |  |  | | | | |  | | |  |  | | |  | | |  | |
| 7 | Name of Administrator with description of duties | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
|  |  | | |  |  | | | | | |  |  |  | | | | |  | | |  |  | | |  | | |  | |
| 8 | Description of efforts the offeror will make to ensure that SB/HUBZone/VOSB/SDB/WOSB/SD-VOSB/ANC & Indian Tribe concerns have an opportunity to compete for subcontracts | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | Yes | | | No | |
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| 9 | Include FAR 52.219-8 Utilization of Small Business Concerns as a Flow down Clause in awards to Subcontractors | | | | | | | | | | | | | | | |  | |  | | |
|  | Complies with FAR 52.219-9 (D)(9) and statutory clause 19.702(a) dollar threshold of (  $750,000  $700,000  $650,000  $550,000) | | | | | | | | | | | |  | |  | | Yes | | No | | |
|  |  | |  | |  | | | |  |  |  |  |  | |  | |  | |  | | |
| 10 | Cooperate in studies/surveys and submit periodic reports including eSRS=ISR and/or SSR in accordance with FAR 52.219-9 and have 2nd tier subcontractors with subcontracting plans submit eSRS=ISR and / SSR in accordance with FAR 52.219-9 (ISR’s not required if a commercial plan) | | | | | | | | | | | | | | | |  | |  | | |
|  |  | | | | | | | | | | | | | | | | Yes | | No | | |
|  |  | | | | | | | | | | | | | | | |  | |  | |
| 11 | Description of types of records maintained | | | | | |  | | | | | | | | | | | | | | | |
|  | Source lists |  | | | | | | | | | | | | | | Yes | | | | No |
|  | Organizations contacted | | |  | | | | | |  |  |  | |  |  | Yes | | | | No |
|  | Records on whether or not SB/HUBZ/VOSB/ SDB/WOSB/SDVOSB concerns were solicited and if not, why not | | | | | | |  | | | | | | | | Yes | | | | No |
|  | Records of outreach efforts | | | | |  | | | | | | | |  |  | Yes | | | | No |
|  | Records of internal guidance and encouragement to buying staff | | | | | | |  | | | | | | | | Yes | | | | No |
|  | Records of awards made to SB/HUBZ/VOSB/ SDB/WOSB/SD-VOSB/ANC & Indian Tribes concerns  on a contract-by-contract basis (Not required if a commercial plan) | | | | | | | | | | | | | | |  | |  | |  |
|  |  | | | | | | | | | | | | | | | Yes | | | | No |

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| 12 | Assurances that the Offeror will make a good faith effort to acquire articles, equipment, supplies, services, or materials, or obtain the performance of construction work from the small business concerns that it used in preparing the bid or proposal, in the same or greater scope, amount, and quality used in preparing and submitting the bid or proposal. Responding to a request for a quote does not constitute use in preparing a bid or proposal. The Offeror used a small business concern in preparing the bid or proposal if--  (i) The Offeror identifies the small business concern as a subcontractor in the bid or proposal or associated small business subcontracting plan, to furnish certain supplies or perform a portion of the subcontract; or  (ii) The Offeror used the small business concern's pricing or cost information or technical expertise in preparing the bid or proposal, where there is written evidence of an intent or understanding that the small business concern will be awarded a subcontract for the related work if the Offeror is awarded the contract.  Yes   No Not Required |
|  |  |
| 13 | Assurances that the Contractor will provide the Contracting Officer with a written explanation if the Contractor fails to acquire articles, equipment, supplies, services or materials or obtain the performance of construction work as described in (d)(12) of this clause. This written explanation must be submitted to the Contracting Officer within 30 days of contract completion.  Yes   No Not Required |

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| 14 | Assurances that the Contractor will not prohibit a subcontractor from discussing with the Contracting Officer any material matter pertaining to payment to or utilization of a subcontractor.  Yes   No Not Required |

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| 15. | Assurances that the offeror will pay its small business subcontractors on time and in accordance with the terms and conditions of the underlying subcontract, and notify the contracting officer when the prime contractor makes either a reduced or an untimely payment to a small business subcontractor (see 52.242-5).  Yes   No Not Required | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (2): Additional requirements: | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other elements of Plan (FAR 52.219-9) | | | | |  | | | | | | | | | | | | | | | | | | Acceptable | Unacceptable | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | System for Award Management (SAM) mentioned: | | | | | | | | |  | | | | | | | | | | | | | | Acceptable | Unacceptable | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | |  | | --- | | Arithmetic is correct (should  mirror the ISR): | | | | | | |  | | | | | | | | | | | | | | | | | | Yes  No | | |
|  |  | | |  | |  | |  | | | | | | |  |  |  | | | |  | |  | |  |  | |
|  | \* Goals represent "maximum practicable opportunity" in accordance with applicable laws and regulations: | | | | | | | | | | | | | | | | |  | | | | | | | Yes  No | | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | Evaluator's Comment's: | | | |  | | | | | | | | | | | | | | | | | | | | | | |
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|  | Based on the factors listed above, this subcontracting plan is: | | | | | | | | | | | | | | | |  | | |  | | Acceptable  Unacceptable | | | | | |
|  |  | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | By: | |  | | | | | | Date: | |  | | Title: | | | | Small Business Liaison Officer (SBLO) | | | | | | | | | |  |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | By: | | |  | | | | | Date: | |  | | Title: | | | | Manager, Socio-Economic Business Program / SBLO | | | | | | | | | | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | (1) Section 8(d) of the Small Business Act and 48 CFR (Federal Acquisition Regulation Part 19.7 52.219-8, and 52.219-9. | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | (2) Description of the principal products and/or services to be subcontracted and the types of businesses supplying them (see FAR 52.219-9(d)(3)). | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | | | | | | | | | | |  | |  | | | | |  |  | |  | |  | | | |