

	Yes	No	N/A
<u>ORDER OF PRECEDENCE</u>			
1. Order of Precedence			
i. In the event of any inconsistency between any parts of this Order, is the Seller aware the inconsistency shall be resolved?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Is the Seller aware that any inconsistencies shall immediately be brought to the attention of Buyer in writing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii. Is the Seller aware that any inconsistencies in or among any of the foregoing shall not be the basis for any defense of a breach of contract claim brought by Buyer against Seller for Seller's failure to perform under this Order, nor shall any such inconsistencies be the basis for any claim of any kind by Seller against Buyer unless Seller has first brought such inconsistencies to Buyer's attention and Buyer has failed to resolve such inconsistencies?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>CONFLICT MINERALS DISCLOSURE</u>			
1. As of the time of award of this Order, does the Seller represent that:			
i. The Product(s) Seller will be supplying under this Order do not contain any conflict minerals, which are defined as (a) gold or (b) tantalum, tin, or tungsten (derivatives of columbite-tantalite (coltan), cassiterite, and wolframite); or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

CONFLICT MINERALS DISCLOSURE		Yes	No	N/A
<p>ii. Alternatively, if the Product(s) contain conflict minerals, the Seller agrees to provide the Buyer one of the following completed forms prior to delivery of the Product(s):</p> <p>a. The Global E-Sustainability Initiative Conflict Minerals Reporting Template ("GeSI CMRT") available at http://www.conflictreesourcing.org/conflict-minerals-reporting-template/, with "Product" selected under the "Declaration Scope or Class" field;</p> <p>b. Written documentation about the source of Conflict Materials in the Product(s) that provides substantively similar information to that requested by the GeSI CMRT.</p>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>2. For any GESI CMRT provided pursuant to 1 (ii), is the Seller aware that the above shall be submitted on the web platform of Buyer's conflict minerals data vendor, Source Intelligence?</p>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3. Is the Seller aware that if the status of any Product(s) changes during performance of this Order so that the representation or information provided pursuant to paragraph 1 of this provision is no longer accurate, then Seller must within 30 days complete and submit updated, accurate and current information as provided in Paragraph 2 above.</p>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>4. Is the Seller aware that if Buyer determines that any representation made by Seller pursuant to this provision is inaccurate or incomplete in any respect, or Seller fails to timely submit the information required by this provision, then Buyer may, at its option, either withhold up to 10% of the Order price until such information is provided or terminate this Order pursuant to the provision of this Order titled "Termination for Default."</p>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

	Yes	No	N/A
<u>REPRESENTATIONS AND CERTIFICATIONS</u>			
1. As of the time of award of this Order, Seller represents and warrants that: i. Is the Seller aware that it has to submit Form SBF P9152, Huntington Ingalls Incorporated Supplier Data & Certifications, to Buyer?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Is the Seller aware that the information disclosed on SBF P9152 is to be current, accurate and complete?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii. Is the Seller aware that neither Seller nor any of its principals is debarred, suspended, or proposed for debarment by the Government.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Is the Seller aware that if the information disclosed in the SBF P9152 has changed, the Seller shall complete and submit to Buyer a revised SBF P9152 prior to acceptance of this Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Is the Seller aware that if the information disclosed on the SBF P9152 changes during performance of this order, the Seller shall complete and submit to Buyer a revised SBF P9152.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

	Yes	No	N/A
<u>SUSPECT/COUNTERFEIT PARTS</u>			
<p>“Suspect/counterfeit parts” are parts that may be of new manufacture, but are misleadingly labeled to provide the impression they are of a different class or quality or from a different source than is actually the case. (DFARS clause 252.246-7007 Contractors Counterfeit Electronic Part Detection and Avoidance System)</p> <p>1. Does the Seller ensure that suspect/counterfeit parts are not incorporated into any Products?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>2. Does the Seller represent and warrant that it has policies and procedures in place to ensure that none of the Products furnished to Buyer under this Order are or contain “suspect/counterfeit parts?”</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3. Does the Seller further certify, to the best of its knowledge and belief that no “suspect/counterfeit parts” have been or will be furnished to Buyer by Seller under this Order?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>4. Is the Seller aware that if he becomes aware or suspects that it has furnished suspect/counterfeit parts or if Buyer determines Seller has supplied suspect/counterfeit parts, the Seller shall immediately replace the suspect/counterfeit parts with parts acceptable to Buyer and shall be liable for all costs incurred by Buyer to remove and replace the suspect/counterfeit parts including without limitation all costs incurred by Buyer?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>5. Is the Seller aware that his warranty against suspect/counterfeit parts shall survive any termination or expiration of this Order?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

<u>SUSPECT/COUNTERFEIT PARTS</u>	Yes	No	N/A
6. Is the Seller aware that he shall insert a clause containing all of the terms of this provision in all subcontracts under this Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>TOXIC SUBSTANCES/HAZARDOUS MATERIAL</u>			
1. Is the Seller aware the Buyer will not accept, store or dispose of any toxic substances or hazardous material except as and to the extent, if at all, expressly provided for in this Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Is the Seller aware paints, primers, or coatings on products required by this Order that contain the following constituents shall not be shipped without prior written approval by Buyer: arsenic, mercury, methylene chloride, methyl ethyl ketone (MEK), lead, and chromium, their compounds, or organo-metallic material?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Is the Seller aware materials known or suspected of containing or coming in contact with asbestos, polychlorinated biphenyls (PCBs), or mercury or mercury containing compounds shall not be provided without Buyer's prior written permission?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

	Yes	No	N/A
<u>BUY AMERICAN ACT AND BALANCE OF PAYMENTS PROGRAM</u>			
1. Is the Seller aware of the DFARS Clause 252.225-7001 Buy American Act and Balance of Payments Program? – Not a required flow down clause but ensures Newport News meets its Buy American obligations. – Requires Sellers to deliver domestic end products. – “Domestic End Product”: a) An unmanufactured end product mined or produced in the U.S. or; b) An end product manufactured in the U.S. if the cost of qualifying country components and its components that are mined, produced, or manufactured in the U.S. exceeds 50% of the cost of all of its components. – This “Buy American” requirement is separate and distinct from the Berry Amendment requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Is the Seller aware of the definition of “Domestic End Product”? a. An unmanufactured end product mined or produced in the U.S. or; b. An end product manufactured in the U.S. if the cost of qualifying country components and its components mined, produced, or manufactured in the U.S. exceeds 50% of the cost of all of its components.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>SPECIALITY METALS</u>			
1. Is the Seller aware of the restriction on acquisition of certain articles containing Specialty Metals in 252.225-7009?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

<u>SPECIALITY METALS</u>	Yes	No	N/A
<p>2. Is the Seller aware that "Specialty Metals" means</p> <p>i. Steel-</p> <p>a. With a maximum alloy content exceeding one or more of the following limits: manganese, 1.65 percent; silicon, 0.60 percent; or copper, 0.60 percent; or</p> <p>b. Containing more than 0.25 percent of any of the following elements: aluminum, chromium, cobalt, niobium (columbium), molybdenum, nickel, titanium, tungsten, or vanadium;</p> <p>ii. Metal alloys consisting of –</p> <p>a. Nickel or iron-nickel alloys that contain a total of alloying metals other than nickel and iron in excess of 10 percent; or</p> <p>b. Cobalt alloys that contain a total of alloying metals other than cobalt and iron in excess of 10 percent;</p> <p>iii. Titanium and titanium alloys; or</p> <p>iv. Zirconium and zirconium alloys.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3. Is the Seller aware that any "Specialty Metals" delivered under this contract shall be melted or produced in the United States, its outlying areas, or a qualifying country (Qualifying Countries)?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

<u>SPECIALITY METALS</u>		Yes	No	N/A
4.	Is the Seller aware that outlying areas (Qualifying Countries) in 252.225-7012 includes: – Australia – Austria – Belgium – Canada – Czech Republic – Denmark – Egypt – Estonia – Finland – France – Germany – Greece – Israel – Italy – Japan – Luxembourg – Netherlands – Norway – Poland – Portugal – Slovenia – Spain – Sweden – Switzerland – Turkey – United Kingdom of Great Britain and Northern Ireland	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

	Yes	No	N/A
<u>SPECIAL REQUIREMENTS (RESTRICTIONS)</u>			
1. Has the Seller and the Seller's subcontractors inserted the following clauses in all subcontracts awarded by Seller under this Order: i. MERCURY EXCLUSION. Mercury or mercury containing compounds shall not be intentionally added or come in direct contact with hardware or supplies furnished under this Order. ii. POLYCHLORINATED BIPHENYLS PROHIBITION. Seller shall not employ equipment or use material that is known or suspected of containing polychlorinated biphenyls. iii. PROHIBITION OF YELLOW WRAPPINGS OR PROTECTION DEVICES. Seller shall not use yellow wrapping material or attached yellow protection devices such as caps or plugs. iv. BRASS AND COPPER BLACK OXIDE COATED THREADED FASTENER PROHIBITION. Seller shall not use brass or copper black oxide coated threaded fasteners when installing or replacing threaded fasteners in the accomplishment of any work required by this Order.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. IN LIEU OF FLOWING DOWN THESE REQUIREMENTS Has the Seller put in place a system or process acceptable to Buyer which insures that the goods and services Seller delivers meet these requirements, e.g. testing components for mercury contamination?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. HAVE ANY VIOLATIONS BEEN NOTED? <u>NOTE:</u> Violations of any of the requirements of this provision will be cause for rejection of the goods at Buyer's sole discretion.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

	Yes	No	N/A
<u>CAST COPPER NICKEL AND PERVASIVE COMMODITY SPECIAL REQUIREMENTS</u>			
1. Quality.			
i. Has the Seller ensured that Level I products, cast Copper-Nickel (CuNi) products, Butt Weld and Socket Pipe Fittings are obtained only from manufacturers or other suppliers approved by Buyer?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Has specific certifications, test reports, or other non-hardware deliverables specified in the Order been provided by Seller?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>CAST COPPER NICKEL SPECIAL REQUIREMENTS</u>			
1. Cast CuNi Products.			
i. Have the Seller and its suppliers only used Buyer approved CuNi foundries in the performance of this Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

<u>CAST COPPER NICKEL SPECIAL REQUIREMENTS</u>	Yes	No	N/A
ii. Has the Seller invoked the following supplemental requirements on orders at any level for CuNi castings from a foundry approved by Buyer or Electric Boat Corporation (EB) or both:			
(i) For each heat, the foundry shall test and have available a chemical test report for an "A" (beginning of furnace charge pour) and "B" (end of furnace charge pour) test specimen. The test reports and the specimen shall be identified as "A" and "B" in addition to the heat number traceability.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Mechanical test bars shall be poured no sooner than 50% through the furnace charge pour.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) The foundry or supplier shall maintain the "A" and "B" test specimens, the mechanical test bars, and the test results as objective quality evidence, subject to audit and further analysis by Buyer and/or Electric Boat Corporation (EB).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(a) Retention time shall be a minimum of seven (7) years following certification of the heat.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) Notify Buyer or EB or both for disposition instructions prior to disposal of records and specimens.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Buyer's and EB's products may be poured in the same heat; however, the heat shall be unique to Buyer and/or EB. No other customer's product shall be included in the heat.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Chemistry and mechanical test report submittal shall be in accordance with the requirements contained elsewhere in this Order. If chemical test reports are required to be submitted, the "B" chemistry test results shall be submitted, unless otherwise specified.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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[illegible]

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

<u>PERVASIVE COMMODITY SPECIAL REQUIREMENTS</u>	Yes	No	N/A
<p>3. Non-Mandatory Buyer Approved Manufacturers</p> <p>i. Is the Seller aware of the current list of non-mandatory Buyer approved manufacturers is available under Technical Documents (under "Procurement") at: http://supplier.huntingtoningalls.com/sourcing/res_technical.html.</p> <p>ii. Is the Seller aware that use of a non-mandatory Buyer approved manufacturer does not relieve the Seller of its obligation to deliver a Product that conforms to all of the requirements of this Order?</p> <p>NOTE: Non-mandatory buyer approved list provided of suppliers to purchase other forging, fasteners and casting material with in-house machining capability.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Transmission Abroad of Naval Nuclear Propulsion Information</u>			
<p>1. Does the Seller have access to Naval Nuclear Propulsion Information ("NNPI") as defined in OPNAVINST 9210.3?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>2. If the answer to #1 is "yes" has the Seller reviewed OPNAVINST 9210.3 and DoD Pamphlet 5230.25PH and abide by the applicable requirements of those publications?</p> <p>NOTE: Document is located here: https://spars.huntingtoningalls.com/PROCUREMENT/procforms.html.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3. Does the Seller have Foreign Nationals and/or Representatives of a Foreign Interest who work within or have access to its premises?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

Transmission Abroad of Naval Nuclear Propulsion Information	Yes	No	N/A
4. Does Seller shall have a Technology Access Control Plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Does the Seller's Technology Access Control Plan: i. Deny Foreign Nationals, Representatives of a Foreign Interest, and unauthorized U.S. Citizens and U.S. Nationals access to Naval Nuclear Propulsion Information; and ii. Permits only authorized U.S. Citizens, U.S. Nationals, Foreign Nationals and/or Representatives of a Foreign Interest access to Technical Data other than Naval Nuclear Propulsion Information or restricts access to Naval Nuclear Propulsion Information and/or Technical Data only to U.S. Citizens and U.S. Nationals who have a need-to-know.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Does the Seller have an active certification number under the U.S./Canada Joint Certification Program pursuant to DoD Pamphlet 5230.25PH and shall provide the active certification number and expiration date to the Buyer?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Does the Seller have any discussions with Foreign Nationals?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Has the Seller disclosed any NNPI to the Foreign Nationals?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

Transmission Abroad of Naval Nuclear Propulsion Information		Yes	No	N/A
9.	Has the Seller disclosed any Restricted Data as defined in the Atomic Energy Act of 1954 as amended, relating to the Naval Nuclear Propulsion Program to employees granted Limited Clearances under the provisions of DOD 5220.22M, National Industrial Security Program Operating Manual (NISPOM)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.	Does the Seller have a system to notify the Buyer of any issue or release of NNPI beyond parties with a need to know and necessary for the performance of this Order, whether or not ordered through an administrative or judicial tribunal?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11.	Does the Seller have a system to notify the Buyer immediately of any litigation, subpoenas, or requests which either seek or may result in the release of NNPI?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.	Does the Seller have a system to insert this "Transmission Abroad of Naval Nuclear Propulsion Information" clause including this paragraph I in all of its subcontracts issued under this Order?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

Ethics and Compliance Assessment <i>(Questions for Supplier's with orders greater than \$5.5M and has a performance period of more than 120 days)</i>	Yes	No	N/A
Processes: Establish Policies, Procedures and Controls			
1. Does the Seller have an Ethics and Compliance Program? (FAR 52.203.13 (b) and (c))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Does the Seller have a written Code of Ethics? (FAR 3.1002; FAR 52.203-13 (b) (1)(i) and (ii))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Has the Seller inserted the clause FAR 52.203-13 in solicitations and contracts if the value of the contract is expected to exceed \$5.5 million and the performance period is 120 days or more? (FAR 3.1004(a))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the Seller have an employee business ethics and compliance training program? How are new hires addressed? (FAR 3.1002(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Does the Seller have posters prominently displayed in common work areas? (FAR 52.203-14(c) and DFAR 252.203-7004(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

Ethics and Compliance Assessment <i>(Questions for Supplier's with orders greater than \$5.5M and has a performance period of more than 120 days)</i>	Yes	No	N/A
6. Has the Seller informed its employees in writing of Whistleblower rights and protections? Has the Seller included this clause in all subcontracts? (DFAR 252.203-7002(a) and (b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Documentation: Responds appropriately to incidents and takes steps to prevent further incidents. Establish reporting mechanisms and escalate issues identified.			
7. Does the Seller have a process established for reporting FAR Mandatory Disclosures? (FAR 52.203-13(b)(3)(i))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Does the Seller have a process established to ensure complaints are addressed in a timely manner? (FAR 52.203-13(b)(3)(i))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

Ethics and Compliance Assessment <i>(Questions for Supplier's with orders greater than \$5.5M and has a performance period of more than 120 days)</i>	Yes	No	N/A
<p>9. Does the Seller have an internal control system? (FAR 52.203-13(c)(2)(ii) and FAR 3.1003)</p> <p>a. An internal reporting mechanism, such as hotline, which allows for anonymity or confidentiality, by which employees may report suspected instances of improper conduct, and instructions that encourage employees to make such reports?</p> <p>b. Disciplinary action for improper conduct or for failing to take reasonable steps to prevent or detect improper conduct?</p> <p>c. Timely disclosure, in writing, to the agency OIG, with a copy to the Contracting Officer, whenever, in connection with the award, performance, or closeout of any Government contract performed by the Contractor or a subcontractor thereunder, the Contractor has credible evidence that a principal, employee, agent, or subcontractor of the Contractor has committed a violation of Federal criminal law involving fraud, conflict of interest, bribery, or gratuity violations found in Title 18 U.S.C or a violation of the civil False Claims Act (31 U.S.C. 3729-3733).</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>10. In the event that a misconduct has occurred, does the Seller have a Corrective and Preventive process in place to prevent similar future misconduct? (FAR 3.1002(b))</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Appendix A-DOD Contracts and Supplement Checklist

[SBF P9382 (04/17/2018) and DOD Contracts Supplement (07/14/2016)]

Ethics and Compliance Assessment <i>(Questions for all Supplier's regardless of order amount and performance period)</i>	Yes	No	N/A
1. Has the Seller informed its employees in writing of Whistleblower rights and protections? Has the Seller included this clause in all subcontracts? (DFAR 252.203-7002(a) and (b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Does the Seller have a written Code of Ethics? (FAR 3.1002; FAR 52.203-13 (b) (1)(i) and (ii))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Has the Seller inserted the clause FAR 52.203-13 in solicitations and contracts if the value of the contract is expected to exceed \$5.5 million and the performance period is 120 days or more? (FAR 3.1004(a))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the Seller have an employee business ethics and compliance training program? How are new hires addressed? (FAR 3.1002(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. In the event that a misconduct has occurred, does the Seller have a Corrective and Preventive process in place to prevent similar future misconduct? (FAR 3.1002(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Additional Comments: