**CERTIFICATION REGARDING COMPLIANCE WITH DoD ASSESSMENT REQUIREMENTS
(DFARS 252.204-7020 and NIST SP 800-171)**

Effective as of November 30, 2020, DFARS 252.204-7020 requires that prior to awarding a contract that involves Controlled Unclassified Information (CUI), the contractor and all of its subcontractors that will handle CUI must have a current assessment score in the DoD Supplier Performance Risk System (SPRS). DFARS 252.204-7020 is a required flow-down in all subcontracts, purchase orders, or other contractual instruments, including for commercial items. Procurements of solely commercial-off-the-shelf (COTS) items and procurements at or below the micro-purchase threshold (currently $10,000) are excluded from this requirement.

Accordingly, Seller-offeror must represent and certify below for each covered contractor information system\* **that may apply to any purchase order to be awarded to Seller-Offeror**.

*Certification.* Seller-Offeror shall make its representation and certification by selecting **only (a) or (b) below**:

\_\_\_[ ] \_\_\_\_ Seller-Offeror qualifies for the COTS exception as set forth in DFARS 204.7304, and Seller represents and certifies that it solely provides COTS items as defined in Federal Acquisition Regulation 2.101; and therefore, the Seller qualifies for the exception to DFARS 252.204-7012, Safeguarding Covered Defense Information and Cyber Incident Reporting. By making this representation and certification, the Seller agrees to only provide COTS items under any resultant award of a purchase order; **or**

\_\_\_[ ] \_\_\_\_ Seller-Offeror confirms that a DoD assessment for NIST SP 800-171 requirements is posted in DoD’s [Supplier Performance Risk System](https://www.sprs.csd.disa.mil/) (SPRS) for each covered contractor information system\* as described below:

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| System Security Plan (SSP) (List each applicable SSP if more than one) | CAGE codes supported by this SSP | Assessment Level (Basic, Medium, or High) | Date assessment was completed | Total Score | Date score of 110 for NIST SP 800-171 security requirements will be achieved (if already achieved 110, enter N/A) |
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\**As defined in DFARS 252.204-7012, a “covered contractor information system” is an unclassified information system that is owned, or operated by or for, a contractor and that processes, stores or transmits Covered Defense Information (DoD CUI)*. *Additional information regarding DoD’s SPRS is available at* [*https://www.sprs.csd.disa.mil/*](https://www.sprs.csd.disa.mil/)*.*

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| Company Name of Seller-Offeror       |
| Name of Authorized Representative (Type)      | Title of Authorized Representative(Type)      |
| Signature      | Date       |